

09/828,574

PATENT

Attorney Docket No.: UCSD1310-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Salvatore Albani

Art Unit:

1645

Application No.: 09/928,574 09 828,574

Examiner:

Albert M. Navarro

Filed:

April 6, 2001

Title:

STRESS PROTEINS AND PEPTIDES AND METHODS OF USE

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

TRANSMITTAL LETTER

Sir:

Transmitted herewith for the above-identified application, please find:

- 1. Information Disclosure Statement (2 pages);
- 2. Form PTO-1449 (1 page, in dup.);
- 3. Ten References;
- 4. European Search report with Annex (5 pages); and
- 5. Return postcard.

CERTIFICATION UNDER 37 CFR §1.8

I hereby certify that the documents referred to as enclosed herein are being deposited with the United States Postal Service as first class mail on this date, July 16, 2004, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Gray Cary\GT\6407782.1 101668-13

In re Application of:

Salvatore Albani

Application No.: 09/928,574 09/828/374

fee, or credit any overpayment, to Deposit Account No. 50-1355.

Filed: April 6, 2001

Page 2

No fee is deemed necessary in connection with the filing of this Information Disclosure Statement as the items of information contained in this statement were cited in a communication from a foreign patent office in a counterpart foreign application, the communication being dated April 16, 2004, which is not more than three months prior to the filing of this statement. However, if any fee is required, authorization is hereby given to charge the amount of any such

Respectfully submitted,

PATENT

Attorney Docket No.: UCSD1310-1

Date: July 16, 2004

Lisa A. Haile, J.D., Ph.D. Registration No. 38,347 Telephone: (858) 677-1456

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GRAY CARY WARE & FREIDENRICH LLP 4365 Executive Drive, Suite 1100 San Diego, California 92121-2133 **USPTO Customer No. 28213**



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Salvatore Albani 09/828,574

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INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. § 1.97, enclosed are references relating to the above-identified application. Each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. For the convenience of the Examiner, these references are listed on the attached Form PTO-1449 and copies are enclosed herewith.

It is respectfully requested that these references be considered in the examination of this application and their consideration be made of written record in the application file.

CERTIFICATION UNDER 37 CFR §1.8

I hereby certify that the documents referred to as enclosed herein are being deposited with the United States Postal Service as first class mail on this date, **July 16, 2004**, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Lisa E. Jambeau

Gray Cary\GT\6407785.1 101668-13 In re Application of:

Application No.: 09/928;574 09 828,574

PATENT Attorney Docket No.: UCSD1310-1

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Page 2

No fee is deemed necessary in connection with the filing of this Information Disclosure Statement as the items of information contained in this statement were cited in a communication from a foreign patent office in a counterpart foreign application, the communication being dated April 16, 2004, which is not more than three months prior to the filing of this statement. However, if any fee is required, authorization is hereby given to charge the amount of any such fee, or credit any overpayment, to Deposit Account No. 50-1355.

Respectfully submitted,

Date: July 16, 2004

Lisa A. Haile, J.D, Ph.D.

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FORM PTO-1449	Docket No. UCSD1310-1	Serial No.: -09/928;574_
U.S. Department of Commercia Patent and Trademark Office		09/828,574
	Applicant(s): Salvatore Albani	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Filing Date: April 6, 2001	Group Art Unit: 1645

U.S. PATENT DOCUMENTS

EXAM. INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	AA	6,037,135	03/14/00	Ralph Kubo et al.			

FOREIGN PATENT DOCUMENTS

EXAM. INITIALS		DOCUMENT	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION (YES/NO)
	AB	WO 96/10039	04/04/96	PCT			
	AC	WO 97/11966	04/03/97	PCT			
	AD	WO 99/61916	12/02/99	PCT			
	AE	WO 95/25744	09/28/95	PCT			
	AF	WO 97/34002	09/18/97	PCT			·

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages)

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АН	B.J. Prakken et al. "Epitope: Mapping of Hsp60 T Cell Responses in Children with' Arthritis by Prediction of PAN-HLA DR. Binding Sites", Arthritis and Rheumatism, Lippincott, Philadelphia, US, Vol. 42, No. 9 Supplement, S229, September 1999
AI	B.J. Prakken, et al., "Peptide-induced nasal tolerances for a mycobacterial heat shock protein 60 T cell epitope in rats suppresses both adjuvant arthritis and nonmicrobially induced experimental arthritis", <i>Proceedings of the National Academy of Sciences, USA</i> , Vol. 94, 3284-3289, April 1997
AJ	B.J. Prakken et al., "Identification of Pan-DR Binding T Cell Epitopes of Human and Mycobacterial hsp60 in Patients with Juvenile Idiopathic Arthritis", Conference of Immunologist, Page 1, January 1, 2001